# What sustainability means in practice

A repository perspective from the ADS

Catherine Hardman Archaeology Data Service





#### The ADS: Who we are and what we do





- Founded 1996
- Department of Archaeology, University of York
- Collections
  - 1,100,000 metadata records
  - 28,500+ unpublished fieldwork reports
  - 700+ rich archives
- Guides to Good Practice
- DPC Decennial Award 2012





# Our 'vision' has remained pretty unchanged

...supports research, learning and teaching with freely available, high quality and dependable digital resources...

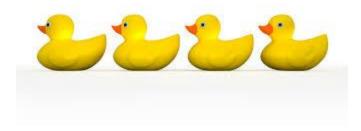
....preserving digital data in the long term, and by promoting and disseminating a broad range of data in archaeology...

....promotes good practice in the use of digital data in archaeology...





...there have been a large number of changes in the profession/sector that has meant we continue to 're-align' over the years to keep our ducks in a row..



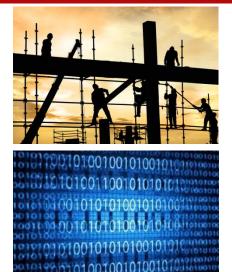


...including finding a sustainable funding stream



# External challenges to sustainability; opportunities and threats

- Fluctuation in development levels
- Changes to RCUK rules re retention of research data
- Level of skills and infrastructure shortage in museums



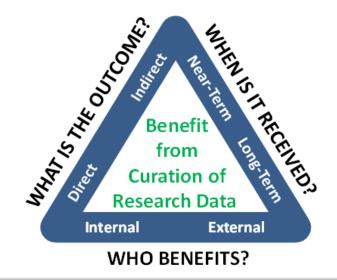




# Charging policy

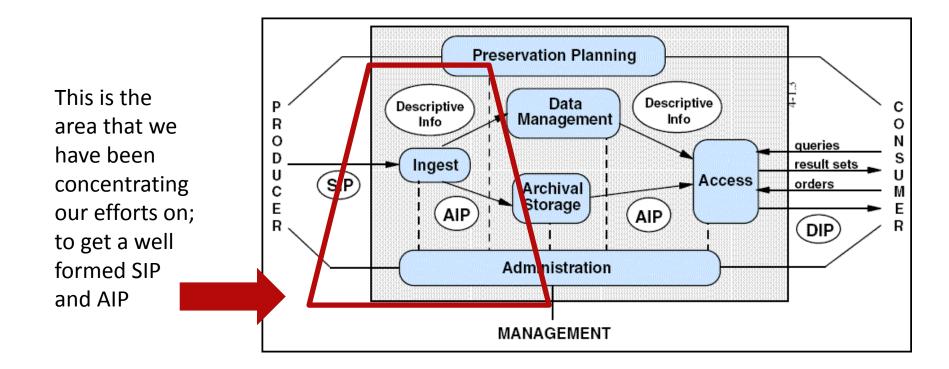
- Pay to deposit/ free to access
- Assessment of cost based on activities
  - Management and administration (including negotiation of deposit)
  - Ingest
  - Dissemination
  - Storage and Refreshment

Work with Beagrie's KRDS benefits model and cost framework enabled work on cost identification, similar work could now be done using the Curation Costs Exchange





• We follow the Open Archival Information System (OAIS) reference model





## **Archiving Digital Data**

After 15+ years experience of digital archiving within archaeology, we are seeing a move toward some organisations submitting digital archives to the ADS as a norm.

#### Southampton's Designated **Archaeology Collections** Programme



Stricklands, Chapel Road, Southampton OASIS ID wessexar1-92058 Excavation 23 Aug 2009 - 27 Sep 2009 Wessex Archaeology



The Deanery, Chapel Road, Southampton OASIS ID wessexar1-92410 Field evaluation 06 Feb 2006 - 10 Feb 2006 Wessex Archaeology



The Deanery, Chapel Road, Southampton OASIS ID wessexar1-97044 Open-area excavation 04 Aug 2008 - 22 Oct 2008



Wessex Archaeology 20-26 College Street, Southampton OASIS ID thamesva1-40314



Thames Valley Archaeological Services Woolston Riverside, Southampton SITE CODE SOU1483 Field evaluation 2 Jul 2008 - 18 Dec 2008

Thames Valley Archaeological Services

Field evaluation 28 Jan 2008 - 29 Jan 2008



The 'Coach House', 16 Bevois Valley Road, Southampton SITE CODE SOU1516 Building Recording 2 Nov 2009 - 12 Nov 2009 Southern Archaeological Services Ltd

#### Wessex Archaeology Image Archive





## Aligning with changing data awareness The ADS e-archiving system – ADS-easy



ADS-easy is a system into which you can upload digital files and associated metadata from archaeological fieldwork and research; on submission these files will be delivered to the ADS for inclusion in our archive.

#### When should you use ADS-easy?

At least for the time being, ADS-easy is best used for small to medium sized archives, by which we mean archives of around 300 files of a common type. The system works best with projects that contain straightforward file types such as text, images, spreadsheets and CAD files. ADS-easy complements the use of the OASIS system so is especially useful for depositing the digital outputs of small fieldwork projects where you are happy with a simple archive interface i.e. you don't want an online GIS interface or something similar.

ADS-easy can also accept audio, databases and geophysics files but we currently exclude the upload of 3D laser scanning and larger files due to the limitations of using the web to upload large files.

If you are uncertain about whether you should use ADS-easy please contact us and we can talk to you about the options.

#### Register / Login to ADS-easy

#### Click here to register for ADS-easy.

If you have already registered, click on the login link on the menu bar above.

If you have forgotten your login password, please click here.

#### Latest news about ADS-easy

August 2013: Bosworth Battlefield Project Archive Launched to Coincide with Battle of Bosworth Anniversary Weekend

August 2013: Archaeology Britain App Out Now in the App Store

August 2013: New Research Fellowship - Centre for Digital Heritage

#### Browsers

\* Please note that this site works best with the Firefox, Chrome and Safari browsers

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### New Costing Calculator; for estimates and costs

e Costing Calculator About	Help		DS e-archiving	y system [Login]
The costing calculator allows po ectively plan, for the archiving of A costings created using the calculato position via ADS-easy and should not be ent for the duration of the financial yea e in January will only be valid until the rectally for AHRC and NERC funding out more about charging and the costi	f data with the Archaeology E ADS-easy system or should be regarded as a guid e treated as a statement of actual ar in which is it is calculated (Apri end of March. If your project req applications) you are encourage	Data Service using the e to the potential cost of costs. This estimate will be I - March), i.e. an estimate uires a definitive costing		8.75 MA
	ants  All other users File Extension:	Quantity: 0	Add	
Project Funding Type: AHRC applica Data Type: Choose Data Type Data Type File Extension Io records found.	File Extension:			emove
Data Type: Choose Data Type	File Extension:			emove

Use it without logging on to work out estimates for project proposals or tenders



### ADS-easy gives you a project 'area' or account

A user can see a list of their projects and the status of the projects



#### Projects

Here is a list of all the projects associated with your account. You can search by Project Title, or by Project Status.

Create a new project

Project Title	Project Id	Created On	Project Status ALL	Opti	ons
Test2	1000409	18/03/2014	OPEN	Edit	Delete
Test Project 3	1000410	19/03/2014	OPEN	Edit	Delete
Orpington Hospital	1000424	27/03/2014	SUBMITTED	Vie	ew.
Archaeological Excavation and Watching Brief, Dundee Leisure Pool	1000425	27/03/2014	OPEN	Edit	Delete
Test Project	1000402	12/03/2014	OPEN	Edit	Delete
Badger - Test please ignore	1000423	27/03/2014	SUBMITTED	Vie	ew

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### Step 1: insert project level resource discovery details

me Projects Account	About Help	Mr Lei Xia <b>(Log</b>
Step 1: Step 2: Project Details File Uploa	Step 3: Archive Management         Step 4: File-level Metadata         Step 5 : Submit Project         Step 6: Documentation	
to put in each section then pl	we need a little more information about your project. Please fill out the project details below. If yo wase go to the help page. It is important that this information is as accurate and complete as pos ata which will allow others to find your project data.	
Project Name*	My lovely project	
? Description*	lovely project 3966 character	s remaining
? Languages* Add	UK English 💌 Remove	
Identifiers Add	Contracting Unit No.  Identifier: 123456 Remove	
Related Resources Add		
Pieldwork Dates	Start Date: 01/Apr/2014 End Date: 02/Apr/2014	
? Data Creation Dates*	Start Date: 01/Apr/2014 End Date: 02/Apr/2014	
Project Funders Add		
Copyright Holders* Add	Person Organization 💿 Both	
	First Name: Lei Last Name: Xia	
	Organisation: Archaeology Data Service	
	Email: xlfm2@hotmail.com	
? Licence Holders* Add	Person Organization 💿 Both	
	First Name: Lei Last Name: Xia	
	Organisation: Archaeology Data Servic	
	Email: xlfm2@hotmail.com	

The fields are controlled to encourage proper use of standards and fulfil the ADS archive requirements



### Step 2: Upload the files you want to include

e Projects	Account A	About Help				Mr Lei Xia <b>[L</b> i
tep 1: roject Details	Step 2: File Upload	Step 3: Archive Management	Step 4: File-level Metadata	Step 5 : Submit Project	Step 6: Documentation	
Vhile the ADS is ur list of preferrent nore information. eposition.	able to accept n ed or accepted for Please ensure y	ask that depositors take to nost of the major file forma rmats before uploading any your files adhere to our file	ats there are some which y data. If you have a pref naming strategy. The Al	h may be problemati ferred format that do DS also provides so	c, consequently we a es not appear in the	ask that you consult list then contact us f
/hile the ADS is ur list of preferre lore information. eposition.	able to accept n ed or accepted for Please ensure y	nost of the major file forma rmats before uploading any	ats there are some which y data. If you have a pref naming strategy. The Al	h may be problemati ferred format that do DS also provides so	c, consequently we a es not appear in the	ask that you consult list then contact us f
/hile the ADS is ur list of preferre nore information. eposition. ploading GIS	a able to accept n ed or accepted for Please ensure y or Geophysics d	nost of the major file forma rmats before uploading any your files adhere to our file	ats there are some which y data. If you have a pref naming strategy. The Al ecial instructions for uplo	n may be problemati ferred format that do DS also provides so ading these files.	c, consequently we a es not appear in the me guidance on sele	ask that you consult list then contact us f
Vhile the ADS is ur list of preferrence nore information. eposition.	a able to accept n ed or accepted for Please ensure y or Geophysics d der(s) or entire a	most of the major file forma rmats before uploading any your files adhere to our file lata? Please read the spe	ats there are some which y data. If you have a pref naming strategy. The Al ecial instructions for uplo	n may be problemati ferred format that do DS also provides so ading these files.	c, consequently we a es not appear in the me guidance on sele	ask that you consult list then contact us f



File Upload Tool

### File upload online



#### File Upload Tool

[?] You can now add data to your project. This can be done by 'dragging and dropping', or selecting 'Choose' and navigating to the computerinetwork.

Uploading GIS or Geophysios data? Please read the special instructions for uploading these files.

Uploading a folder(s) or entire archive? Please read the special instructions for uploading an entire archive.

If you are uploading single files, or zipped groups of GIS or Geophysics data, then please use the upper file upload tool.

If you are uploading a folder, or an entire archive consisting of a group of folders, these should be appeal together and uploaded into the i not use the lower upload box for GISIGeophysics data, these should be extracted from an archive, zipped up separately and uploaded us upload both.

Any files you have upload during this session will appear in the box to the right of the associated upload box. N.B. If you have uploaded d project using ADS-easy then these files will also appear in the uploaded file area. These files will not be included in your archive, concerned you should igood, and log back in to start a new session.

Use the area below to upload files, or zipped groups of GIS or Geophysios data. Please select the 'Choose' button or drag files into the (Note: there is a maximum file size of 20HB per file).

+Choose <sup>3</sup> Upload	©Cancel	These are the files you during this session:
	Drag and Drop files	

Use the area below to upload folders of files or an arobitve (in a zip); if you would like to upload an entire archive of one or more folders, pro together in a zip file. Do not use this area to upload fibs of deophysics data. Please select the 'Choose' button or drag the zip into the space there is a maximum file size of 3016 per zip).



You can now add data to your project. This can be done by 'dragging and dropping', or selecting 'Choose' and navigating to the file(s) on your computer/network.

Uploading GIS or Geophysics data? Please read the special instructions for uploading these files.

Uploading a folder(s) or entire archive? Please read the special instructions for uploading an entire archive.

If you are uploading single files, or zipped groups of GIS or Geophysics data, then please use the upper file upload tool.

If you are uploading a folder, or an entire archive consisting of a group of folders, these should be zipped together and uploaded into the lower area. Do not use the lower upload box for GIS/Geophysics data, these should be extracted from an archive, zipped up separately and uploaded using the upper upload tool.

Any files you have upload during this session will appear in the box to the right of the associated upload box. N.B. If you have uploaded data to another project using ADS-easy then these files will also appear in the uploaded file area. These files will not be included in your archive, but if you are concerned you should logout, and log back in to start a new session.

Use the area below to upload files, or zipped groups of GIS or Geophysics data. Please select the 'Choose' button or drag files into the space below (Note: there is a maximum file size of 20MB per file).



be to pload folders of files or an archive (in a zip): If you would like to upload an entire archive of one or more folders, group them together in a zip file. Do not use this area to upload GIS or Gophysics data. Please select the 'Choose' button or drag the zip into the space below. (Note: there is a maximum file size of 80MB per zip).

> Drag and drop files or zips; or browse and choose the files. They are listed as they are uploaded

### Step 3: Manage you archive and cost the archive

- See a list of your files
- Allocate a data type to help with file level metadata
- Delete what you don't want
- Calculate the archive cost
- Save your costing info

ome Projects Account About Help

Mr Lei Xia [Logout]

Step 1:	Step 2: Step 3:	Step 4:	Step 5 :	Step 6:
Project Details	File Upload Archive Management	File-level Metadata	Submit Project	Documentation

Plonce you have uploaded data to your project area (Step 2) your files should appear in the table below, but in order to proceed we need a little more information about your data ('data type' and 'file extension'). To do this we need you to manually set the appropriate 'data type' for each file and add the correct 'file extension' from the drop down lists. It is important that this completed correctly as it has ramifications for the creation of the correct file-level metadata.

When this is complete you can more effectively manage your data (remove files), and see how much your archiving will ultimately cost (appears below the file management window). N.B. Please take care when changing or removing information as this can result in loss of data and metadata.

Once you are happy with your archive, please save a costing below and then move on to Step 4: File-level Metadata.

Filter:	Assoc Files	Filesize	Data Type	File Extension	Status	
Catering.pdf	0	20.4 KB	Text 💌	pdf 💌	SELECTED	8
Teleconference Log In Details.doc	0	27 KB	Text 💌	doc 💌	SELECTED	8
Image10.tif	0	364.8 KB	Images (Raster) 💌	tif	SELECTED	8

#### Costing

This section allows you to see how much your archiving will cost. You can 'Save' this costing for your records and we will invoice you for the amount required.

Project Funding Type: AHRC applicants • All other users

Subtotal (excl. VAT):	£8.00
TOTAL (incl. £150.00 project start up fee & VAT 20%):	£187.20
If you choose to deposit by traditional methods, the estimated price is considerably higher at:	£254.40
Calculate Total	
Save Costing	



### Step 4: Complete file level metadata for the files

	ile Upload	Step 3: Archive Management	Step 4: File-level Metadata	Step 5 : Submit Proje	ct Documentat	ion	
ppear. Complete thi itatus' will change fr rchive Management ou can also 'Delete'	is form and cl rom 'Pending t' area. ' a file and its vage files, plea	ick on the button at the I to 'Completed' in the 'S	r files. Simply click the " bottom to return to this pa step 4: File level metadata although once it has been a file template.	ge. Once you ha a' area; and from	ve completed the a 'Selected' to 'Com	ppropria pleted' ir	te metadata, 1 the 'Step 3:
Search File	ename:		Data Type	File Ext	Status	0	ptions
	Image10	.tif	Image	tif	COMPLETED	_	Edit elete
Download the Im When you have comp Text Objects:			o upload it using the Tem	plate File Upload	1 Tool.		
	lename:		Data Type	File Ext	Status	O	otions
Search Fil	Catering.pdf		Text	pdf	PENDING	Edit	Delete
Search Fil							

#### Either use a web form or upload a spreadsheet template



### Step 5 and 6: Administer and submit your project

iome Projects Account About Help		Mr Lei Xia	[Logout]				
Step 1:         Step 2:         Step 3:         Step 4:           Project Details         File Upload         Archive Management         File-level Metadata	Step 5 : Step 6 Submit Project	b: mentation	•	Tell cura	tors abo	ut your archi	ve
$\fbox$ When you have completed the necessary metadata, and completed all the other $\ensuremath{\mathbb{S}}$	steps then you can 'Submit' y	your archive.	•	Add an e	mhargo		
Before submission you can view a summary of your project here. If there are any pro and make any changes. Once the project has been submitted you will not be able metadata, so please take time to ensure that it is correct.			ion		Ŭ	, our costing	
P Additional Information: If there is any information about your project which you think may be useful to us, the	n you can add a message ii	n the box below.					
PLEASE ARCHIVE MY IMAGE PROMPTLY! 1487 ch	aracters remaining						
Save Message	Home Projects	Account Ab	out Help			Mr Lei Xia	[Logout]
Embargo: When archiving digital data it is important that the data is archived (accessioned and the correct format with the appropriate documentation to ensure its long term preser immediately made accessible to the public. It you think it appropriate to establish an	Project Details	Step 2: File Upload	Step 3: Archive Management	Step 4: File-level Metadata	Step 5 : Submit Project	Step 6: Documentation	
in the archive, but not accessible to the public then you can add a date here. Once the made publicly accessible. If you are considering an embargo date then please read on the deposition of sensitive data.	Saved Quote (Fi	inance)					
If you do not need to set an embargo date then leave this blank.		Quote ID	Date	Total Cos	t	Options	
Embargo Date: 05/Apr/2014 Clear Embargo Date	INVOI	CE_1000431	16 Apr 2014	£181.20		Download Delete	
Notification: If you would like to notify a person or other organisation about your submission, plea the boxes below. An automated email will then be sent letting the individual/organisa possible include direct this to your contact within an organisation.							
Email: CSH3@YORK.AC.UK			0.0	ote successfully saved, y	1011		
Look what I've done!  1480 ch			can	download/delete it from cumentation section.			
Submit Project	L						
	legal statement	contact us					

# Collection Management System

			These are	chives are waiting to be allocated: -select project	te			Back to top
			Tracking Id	Tracking Title	Li	oence Use	ername	Notes
			1004263	An Archaeology of Literacy and the Church in Southern England to AD750	S	GNED	N/A	WGK is going to tidy up the files (removing highlighting etcl) before we go ahead
These AD	S-easy projects are waitin	ig to be allocated: -	1002176	Council for British Archaeology (CBA) Occasional Papers	S	GNED	N/A	periodically updated
oject Id		Proje	c 1003376	Detection of Archaeological Residues using remote sensing Techniques (DART) - Geophysical data		NO		awaiting the rest of the data (just GPR and GIS) with overview text which is yet to be uploaded to CKAN!
000505	ods	Urray Durkiashaa	1003413	Garton Wetwang Slack	\$	ENT	N/A	
	00505 Const Land At Bumpers Farm, Ilmer, Buckinghams		1004871	Hanley Hall, Gilberts End, Worcestershire	5	ENT	N/A	This project was initiated via ADS-easy (project id 1000497)
000504	0504 odd Whitchurch Reservoir, Whitchurch		1001959	Ipswich Backlog Excavation Archive	S	GNED	N/A	Contains 13585 files, 165GB. Waiting for replacement metadata files.
	whitchurch Reservoir	r, whitehurch	1003608	Lake District National Park Survey		NO	N/A	still awaiting metadata and have other queries about copyright to the data he has given us
000506	The Old Chapel off H	High St, Newprt Pagne	1001872	London Archaeologist Journal	s	GNED		9/2/11 Agreed with Clive Orton that we would be charging £300 + VAT for each annual batch of 4 issues and a supplement (every 3 years they produce one volume of 12 issues and 3 supplements)
				Proceedings of the Society of Antiquaries of Scotland	S	GNED	N/A	http://ads.ahds.ac.uk/catalogue/library/psas/
000503	4708	Tracking Id		e allocated/AIP'dselect project   Allocate Tracking Title	Licence	Username	e	Notes
	Land on the site of the	1001640 Scottist	h Archaeologi	cal Internet Reports	SIGNED	kjn103	Advid	e given to SAIR on digital links and archives (see also DASA)
000478	evaluation and building		Archaeologic	al Collections	SIGNED	jg1063		one licence required: covers all volumes. Dates given here relating to licence are given on al tracking records, and refer to the sole licence.
000388	py test (ADS-easy)	1004591 The Pr	ehistory of Bri	tain and Ireland	SIGNED	kjn103		
	chives have a deposit but t	These archives ar	re waiting to b	e released:				Back to t
acking Id		Tracking Id		Tracking Title	Licence	Username	e	Notes
002381	Aggregate extraction rela	1002204 Norther	n Ireland Site	s and Monuments Record	SIGNED	jg1063		aded - waiting for Lei to sort a hiccup with the solr indexing so that 18571 records show in earch facet rather than 18301
003447	Chard Junction Quarry, D Excavations in Medieval	1004407 Ridge (	Cross, Stockla	nd	SENT	jr949	Waitin	ng for licence (email reminder sent 15/09/2014). Second reminder sent 22/10/2014.
003450	Glastonbury Abbey: Arch	1004599	otton Triangle	s Site, Northumberland, Archaeological Evaluation (OASIS ID: adarchae1-163165)	SIGNED	rhm103	This p	project was initiated via ADS-easy (project id 1000476)
					SIGNED		This	
001837	Later Prehistoric Pottery	G 1004598 Wa	atching brief E	astgate, Hexham (OASIS ID: gerrymar1-175289)	SIGNED	rhm103	i nis p	project was initiated via ADS-easy (project id 1000461)

1002495	North Park Farm, Bletching These archives are	embargoed					Back to top
1004835	Northam Burrows HEL Tracking Id Northumberland Historic L		Tracking Title	Licence	Usern	name	Notes
1003545	Nottinghamshire Aggregate Resource Assessmer Prehistoric Pottery Production in Charnwood For Terrestrial mineral resource assessment: Bucking	1004247	North Yorkshire County Council Grey Literature Reports		BIGNED	jr949	discarded and some of the reports with issues have been excluded from this to be dealt with when my queries have been answered by the depositor). For these 1715 files metadata has been created to be entered into the following Grey Li Library tables Ibilio1, Billio, Juhrs, Files, Grid, Refs and Location. The grid reference and location information have largely been extracted by Les's NLP work with the gaps filed in manually. The Mon_Finds table has been put on hold as it will currently take the file of the second seco
1004408	Woodstown Viking-Age settlement, Co. Waterford	1003209	Northumberland and Durham Rock Art Project		SIGNED	jg1063	too much time, but may be a combined NLP/crowd-sourcing task for the future. Michael is currently working on a soright to import the metadata I have created into the Grey Lit tables. Archive needs tidying



### Benefits of this approach for sustainability

- Pass some costs savings to depositor
- Delivery of better formed SIPs
- Semi automation of internal preservation and dissemination functions
- Ability to deal with higher volumes of deposits
- More attractive to depositors and funders/curators
- More predictable 'turn over' of deposits and funding
- Doesn't exclude 'traditional' deposit...

And to close the circle...

- Attracts reuse of different archives in synthesis research
- Reuse attracts deposition and funding.....





# What evidence is there that it works? The IMPACT project results

My archaeological research time would be trebled if I didn't have access to ADS. - User survey respondent

I see its establishment and existence as a major advance in data management and resourcing. It fulfils a fundamental structural need in information storage and retrieval and a service to a wide sector of users. ... absolutely critical for professional research and consultancy underpinning Impact Assessments and other work in support of industry and development. - User survey respondent

ADS has been a lifeline for my research. Having access to the quantity and quality of data, along with access to grey literature has saved me months, if not years of work.

- User survey respondent

If we didn't have the ADS we would have to invent it - Interviewee

Image donated by Kenneth Aitchison and supplied under licence from the HCA Archaeology Image Bank



## ADS Value/Impact Analysis

#### **Analysis in Progress**

#### Example - Returns over 30 years?

Increase in returns on investment in data and related infrastructure arising from additional use facilitated by ADS

#### £1 cost provides up to £8.30 return





#### One tool in an armoury

Having a deposition system based on financial modelling is ONE tool that is supported by a range of activities that underpin sustainability ...

R & D opportunities User access and help Audience development Guidance and standards Accreditation

... all help to embed the ADS as a 'service' not a project.





# Keep in touch



Follow us on Twitter: @ADS\_Update

f

Friend us on Facebook: http://www.facebook.com/archaeology.data.service

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